

MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 28th March, 2012

TIME: 3.00 pm

VENUE: Town Hall, Southport

**Member**

Councillor  
Councillor Hands (Chair)  
Councillor Tonkiss (Vice-Chair)  
Councillor Brady (Spokesperson)  
Councillor Brennan  
Councillor Lord Fearn  
Councillor Friel  
Councillor Maher  
Councillor McIvor  
Councillor Parry (Spokesperson)  
Councillor Shaw

**Substitute**

Councillor  
Councillor Mainey  
Councillor M. Fearn  
Councillor Tweed  
Councillor Mahon  
Councillor Ashton  
Councillor McGinnity  
Councillor Moncur  
Councillor Crabtree  
Councillor Porter  
Councillor Robertson

COMMITTEE OFFICER: Steve Pearce  
Head of Committee and Member Services  
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**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

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# AGENDA

1. **Apologies for absence**
2. **Declarations of Interest**

Members and Officers are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda in accordance with the relevant Code of Conduct.
3. **Minutes of Previous Meeting** (Pages 5 - 8)

Minutes of the meeting held on 14 December 2011
4. **The Future of the Standards Regime at Sefton Council** (Pages 9 - 28)

Report of the Head of Corporate Legal Services
5. **External Audit Plan 2011/12** (Pages 29 - 52)

Report of the External Auditors, PricewaterhouseCoopers
6. **Members Treasury Management Training** (Pages 53 - 58)

Report of the Head of Corporate Finance and ICT
7. **Treasury Management 2011/12 - Third Quarter Update** (Pages 59 - 70)

Report of the Head of Corporate Finance and ICT
8. **Review of Doubtful Debt Provision - Council Tax / Sundry Debts** (Pages 71 - 78)

Report of the Head of Corporate Finance and ICT
9. **Internal Audit Plan 2011/12 Performance Report - April 2011 to February 2012** (Pages 79 - 96)
10. **Internal Audit Plan 2012/13** (Pages 97 - 112)

Report of the Head of Corporate Finance and ICT
11. **Audit Commission Report - Protecting the Public Purse** (Pages 113 - 126)

Report of the Head of Corporate Finance and ICT

**12. Exclusion of Press and Public**

To consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for the following item(s) of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraphs 3 and 7 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.

**13. Internal Audit Fraud Report - April 2011 - February 2012** (Pages 127 - 134)

**14. Corporate Risk Management and Corporate Risk Register** (Pages 135 - 142)

Report of the Head of Corporate Finance and ICT